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10804486 - GAY

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number	10/804,486 (Conf. No. 7628)
Filing Date	March 18, 2004
First Named Inventor	Danny R. Gaydou
Art Unit	2611
Examiner Name	Christopher C. Grant
Attorney Docket Number	UV-275

ENCLOSURES (Check all that apply)

- | | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | The Director is hereby authorized to charge any fee(s) that may be due under 37 CFR 1.16 or 1.17 to Deposit Account Number 06-1075 (Order No. 003597-0275) | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature			
Printed name	Chia-Hao La		
Date	January 13, 2006	Reg. No.	57,729

CERTIFICATE OF EXPRESS MAIL (No. EV619622895US)

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature		Date	1/13/06
Typed or printed name	Andrew Shire		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS
UV-275

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Danny R. Gaydou et al.
Application No. : 10/804,486 Confirmation No. : 7628
Filed : March 18, 2004
For : SYSTEMS AND METHODS FOR PROVIDING TRANSPORT
CONTROL
Art Unit : 2611
Examiner : Christopher C. Grant

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, New York
January 13, 2006

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following references of record in the above-identified patent application:

U.S. Patent Documents

6,744,967	06/01/04	Kaminski et al.
2002/0174430	11/21/02	Ellis et al.

Foreign Patent Documents

WO 00/59214	10/05/00	TiVo, Inc.
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Non-Patent Documents

DIRECTTV Receiver with TiVo, Viewer's Guide (2000).

The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Copies of the foreign patent document and the non-patent document are enclosed. Pursuant to 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patent or published U.S. patent application accompany this Information Disclosure Statement.

It is respectfully requested that the above documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Information Disclosure Statement is being submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97, submission of this Information Disclosure Statement requires no fee. However, if for any reason a fee is due, the Director is

hereby authorized to charge payment of any fee required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 002397-0275). A Form PTO/SB/21 Transmittal Form is enclosed herewith for that purpose.

Respectfully submitted,



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PTO/ST/08 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449/PTO		Complete if known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/108,486 (Conf. No. 7628)
		Filing Date	March 18, 2004
		First Named Inventor	Danny R. Gaydou
		Art Unit	2644 4157
		Examiner Name	Christopher C. Grant
Sheet 1 of 1	Attorney Docket Number	UV-275 R. Stronczer	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/RSS/		6,744,967	06-01-2004	Kaminski et al.	
/RSS/		2002/0174430	11-21-2002	Ellis et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ² - Kind Code ⁴	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/RSS/		WO 00/59214	10-05-2000	TIVO, Inc.	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			†
/RSS/		DIRECTTV Receiver with TiVo, Viewer's Guide (2000).			

Examiner Signature	/Ryan Stronczer/	Date Considered	12/05/2007
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All References Have Been Considered:

Examiner

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.